



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : G01B 11/02, H01L 21/66, G03F 7/20		A1	(11) International Publication Number: <b>WO 99/45340</b>
		(43) International Publication Date: 10 September 1999 (10.09.99)	
(21) International Application Number: PCT/US99/04053		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).	
(22) International Filing Date: 25 February 1999 (25.02.99)		<p><b>Published</b> With international search report.</p>	
(30) Priority Data: 09/036,557 6 March 1998 (06.03.98) US			
(71) Applicant (for all designated States except US): KLA-TENCOR CORPORATION [US/US]; 160 Rio Robles, San Jose, CA 95134-1809 (US).			
(72) Inventors; and (75) Inventors/Applicants (for US only): XU, Yiping [CN/US]; 20094 Merritt Drive, Cupertino, CA 95014 (US), ABDUL-HALIM, Ibrahim [IL/IL]; P.O. Box 942, 17907 Kfar Manda (IL).			
(74) Agents: HSUE, James, S. et al.; Majestic, Parsons, Siebert & Hsue P.C., Suite 1100, Four Embarcadero Center, San Francisco, CA 94111-4106 (US).			
(54) Title: MEASURING A DIFFRACTING STRUCTURE, BROADBAND, POLARIZED, ELLIPSO-METRIC, AND AN UNDERLYING STRUCTURE			
(57) Abstract			
<p>Before the diffraction from a diffracting structure on a semiconductor wafer is measured, where necessary, the film thickness and index of refraction of the films underneath the structure are first measured using spectroscopic reflectometry or spectroscopic ellipsometry. A rigorous model is then used to calculate intensity or ellipsometric signatures of the diffracting structure. The diffracting structure is then measured using a spectroscopic scatterometer using polarized and broadband radiation to obtain an intensity or ellipsometric signature of the diffracting structure. Such signature is then matched with the signatures in the database to determine the grating shape parameters of the structure.</p>			

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakhstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

MEASURING A DIFFRACTING STRUCTURE, BROADBAND, POLARIZED, ELLIPSOMETRIC, AND AN UNDERLY-  
ING STRUCTURE

BACKGROUND OF THE INVENTION

This invention relates in general to scatterometers  
and in particular, to a spectroscopic scatterometer  
5 system.

As the integration and speed of microelectronic  
devices increase, circuit structures continue to shrink  
in dimension size and to improve in terms of profile  
edge sharpness. The state-of-the-art devices require a  
10 considerable number of process steps. It is becoming  
increasingly important to have an accurate measurement  
of submicron linewidth and quantitative description of  
the profile of the etched structures on a pattern wafer  
at each process step. Furthermore, there is a growing  
15 need for wafer process monitoring and close-loop control  
such as focus-exposure control in photolithography.

Diffraction-based analysis techniques such as  
scatterometry are especially well suited for  
microelectronics metrology applications because they are  
20 nondestructive, sufficiently accurate, repeatable,  
rapid, simple and inexpensive relative to critical  
dimension-scanning electron microscopy (CD-SEM).

Scatterometry is the angle-resolved measurement and characterization of light scattered from a structure. For structures that are periodic, incident light is scattered or diffracted into different orders. The angular location  $\theta_r$  of the  $m^{\text{th}}$  diffraction order with respect to the angle of incidence  $\theta_i$  is specified by the grating equation:

(1)

$$\sin \theta_i + \sin \theta_r = m \frac{\lambda}{d}$$

where  $\lambda$  is the wavelength of incident light and  $d$  the period of the diffracting structure.

The diffracted light pattern from a structure can be used as a "fingerprint" or "signature" for identifying the dimensions of the structure itself. In addition to period, more specific dimensions, such as width, step height, and the shape of the line, the thickness of the underlay film layers, and angle of the side-walls, referred to below as parameters of the structure, can also be measured by analyzing the scatter pattern.

Since the periods of the gratings in the state-of-the-art devices are generally below 1  $\mu\text{m}$ , only the 0<sup>th</sup> and +/- 1<sup>st</sup> diffraction orders exist over a practical

angular range. A traditional scatterometer that measures the entire diffraction envelope does not provide the data required for an accurate analysis. One prior optical technique for characterizing submicron  
5 periodic topographic structures is called 2- $\theta$  scatterometry.

The 2- $\theta$  scatterometer monitors the intensity of a single diffraction order as a function of the angle of incidence of the illuminating light beam. The intensity  
10 variation of the 0<sup>th</sup> as well as higher diffraction orders from the sample provides information which is useful for determining the properties of the sample which is illuminated. Because the properties of a sample are determined by the process used to fabricate the sample,  
15 the information is also useful as an indirect monitor of the process.

In 2- $\theta$  scatterometry, a single wavelength coherent light beam, for example, a helium-neon laser, is incident upon a sample mounted on a stage. By either  
20 rotating the sample stage or illumination beam, the angle of incidence on the sample is changed. The intensity of the particular diffraction order (such as zeroth-order or first order) as a function of incident angle, which is called a 2- $\theta$  plot or scatter "signature"  
25 is then downloaded to a computer. In order to determine the different parameters such as linewidth, step height, shape of the line, and angle of the side-walls (the

angle the side-wall makes with the underlying surface, also known as the "wall angle"), a diffraction model is employed. Different grating parameters outlined above are parameterized and a parameter space is defined by  
5 allowing each grating-shaped parameter to vary over a certain range.

A rigorous diffraction model is used to calculate the theoretical diffracted light fingerprint from each grating in the parameter space, and a statistical  
10 prediction algorithm is trained on this theoretical calibration data. Subsequently, this prediction algorithm is used to determine the parameters that correspond to the  $2\theta$  plots or scatter "signature" measured from a target structure on a sample.

15 While  $2\theta$  scatterometry has been useful in some circumstances, it has many disadvantages. The periodic diffracting structure is frequently situated over one or more films that transmit light. Therefore, any diffraction model employed must account for the  
20 thicknesses and refractive indices of all films underneath the diffracting structure. In one approach, the thickness and refractive indices of all layers must be known in advance. This is undesirable since frequently, these quantities are not known in advance.  
25 In particular, the film thickness and optical indices of materials used in semiconductor fabrication often vary from process to process.

Another approach to solve the above problem is to include all unknown parameters in the model, including film thickness and optical indices of underlying film materials. By thus increasing the number of variables in the model, the number of signatures that has to be calculated increase exponentially, so that the computation time involved renders such approach inappropriate for real-time measurements.

Furthermore, since the intensity of the particular diffraction order as a function of incidence angle of the sampling beam is acquired sequentially as the incident angle is varied, data acquisition for the 2- $\theta$  plot or scatter "signature" is slow and the detected intensity is subject to various noise sources such as system vibration and random electronic noise which may change over time as the incident angle is varied.

Another approach is proposed by Ziger in U.S. Patent No. 5,607,800. In this approach, where the measurement of a particular patterned film is desired, a first patterned arrangement having predetermined and known grating characteristics close to those of the patterned film to be measured is first made, such as by forming a line-and-space pattern on a first wafer. A spectrophotometer is then used to measure the amplitude of reflected signals from such first arrangement to obtain a signature. Then a second patterned arrangement having known grating

characteristics close to those of the patterned film to be measured, such as another line-and-space pattern on a second wafer, is obtained and a spectroreflectometer is used to measure the amplitude of reflected signal from such arrangement to obtain a second signature. The process is repeated on additional wafers and the signatures so formed are organized as a database. Then, the target pattern film of the sample is measured using a spectroreflectometer and its signature compared to those present in the database. The signature in the database that matches the signature of the target film is then used to find the grating characteristics or parameters of the target film.

Ziger's approach is limited and impractical, since it requires replication of multiple reference patterns analogous to the target pattern and measurements of such reference patterns to construct a database before a measurement can be made of the target pattern. Ziger's approach also requires contrast difference between the reflectivity of the film versus the reflectivity of the substrate. In other words, Ziger's method cannot be used to measure the grating characteristics on line patterns made of a material having a reflectivity similar to that of the underlying substrate.

None of the above-described approaches is entirely satisfactory. It is therefore desirable to provide an improved scatterometer with better performance.



SUMMARY OF THE INVENTION

One aspect of the invention is directed towards a method of measuring one or more parameters of a diffracting structure on an underlying structure, said  
5 underlying structure having a film thickness and an optical index, comprising providing an optical index and a film thickness of the underlying structure; constructing a reference database of one or more parameters related to said diffracting structure using  
10 said optical index and film thickness of the underlying structure; and directing a beam of electromagnetic radiation at a plurality of wavelengths at said diffracting structure. The method further comprises detecting intensities or ellipsometric parameters at  
15 said plurality of wavelengths of a diffraction from said structure; and comparing said detected intensities or ellipsometric parameters to said database to determine said one or more parameters.

Another aspect of the invention is directed  
20 towards an apparatus for measuring one or more parameters of a diffracting structure on an underlying structure, said underlying structure having a film thickness and an optical index, comprising means for constructing a reference database of one or more  
25 parameters related to said diffracting structure using an optical index and a film thickness of the underlying structure; and means for directing a beam of

electromagnetic radiation including energy at a plurality of wavelengths at said diffracting structure. The apparatus further comprises means for detecting intensities or ellipsometric parameters of a diffraction  
5 from said structure at said plurality of wavelengths; and means for comparing said detected intensities or ellipsometric parameters to said database to determine said one or more parameters.

Another aspect of the invention is directed towards  
10 a scatterometer for measuring a parameter of a diffracting structure of a sample, including a source which emits broadband radiation; a polarizer that polarizes the broadband radiation to produce a sampling beam sampling the structure; and means for detecting  
15 intensities or ellipsometric parameters of a diffraction from the structure over a range of wavelengths.

An additional aspect of the invention is directed towards a method for measuring one or more parameters of a diffracting structure of a sample, including providing  
20 broadband radiation; polarizing the broadband radiation to produce a sampling beam; and directing the sampling beam towards the structure. The method further comprises detecting radiation of the sampling beam that has been diffracted from the structure over a range of  
25 wavelengths; and comparing the detected radiation to a reference to determine said one or more parameters.

One more aspect of the invention is directed towards an instrument for measuring one or more parameters of a diffracting structure on an underlying structure of a sample, comprising a source of broadband radiation; a polarizer polarizing said radiation to  
5 provide a sampling beam towards the sample; and an analyzer for receiving diffracted radiation from the structure to provide an output beam. The instrument further comprises a spectrometer detecting the output  
10 beam.

One more aspect of the invention is directed towards a method for measuring one or more parameters of a diffracting structure on an underlying structure of a sample, comprising performing spectroscopic  
15 measurements on the underlying structure to determine its characteristics; constructing a reference database of one or more parameters related to said diffracting structure using characteristics of the underlying structure; and performing scatterometric measurements on  
20 the diffracting structure to obtain intensity or ellipsometric data; and comparing said intensity or ellipsometric data to the reference database to derive said one or more parameters.

Yet another aspect of the invention is directed  
25 towards an instrument for measuring a sample, comprising a spectroscopic device measuring film thickness data, and index of refraction data of the sample over a

spectrum; a scatterometer measuring diffraction data from a diffracting structure of said sample over a spectrum and means for deriving physical parameters related to the structure from the film thickness data, index of refraction data, and diffraction data.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1A is a schematic view of a spectroscopic scatterometer to illustrate the preferred embodiment of the invention.

Fig. 1B is a schematic view of a portion of the spectroscopic scatterometer of Fig. 1A to illustrate the preferred embodiment of the invention.

Fig. 2 is a cross-sectional view of a semiconductor wafer including a line pattern of photoresist on a bare silicon substrate useful for illustrating the invention.

Fig. 3A is a graphical plot of the intensity of the zeroth diffraction order as 51 different functions of the angle of incidence of the illuminating light beam in a 2- $\theta$  scatterometer, where the nominal linewidth is assumed to be 250 nanometers, and the 51 functions are obtained assuming linewidths from 225 to 275 nanometers, at 1 nanometer steps, for comparison with predicted results of the invention.

Fig. 3B is a graphical plot of the intensity of the zeroth diffraction order as 51 different functions of the wavelength of the illuminating light beam according

to the invention where the nominal linewidth is assumed to be 250 nanometers, and the 51 functions are obtained assuming linewidths from 225 to 275 nanometers, at 1 nanometer steps, for comparison with predicted results  
5 of the invention.

Fig. 3C is a plot of the means square error difference measurement as a function of linewidth, between the signature generated for the grating having the nominal linewidth of 250 nanometers and other  
10 signatures obtained for other linewidths using 2- $\theta$  scatterometry, and using the preferred embodiment of this invention over a full range of the spectrum and over UV and visual wavelength bands of the full spectrum useful for illustrating the invention.

15 Fig. 4A is a graphical plot of the intensity of an ellipsometric parameter  $\tan(\psi)$  as 5 different functions of the wavelength of the illuminating light beam according to the invention where the nominal linewidth is assumed to be 180 nanometers, and the 5  
20 functions are obtained assuming linewidths at 178, 179, 180, 181, 182 nanometers, for comparison with predicted results of the invention.

Fig. 4B is a graphical plot of the intensity of an ellipsometric parameter  $\cos(\delta)$  as 5 different  
25 functions of the wavelength of the illuminating light beam according to the invention where the nominal linewidth is assumed to be 180 nanometers, and the 5

functions are obtained assuming linewidths at 178, 179, 180, 181, 182 nanometers, for comparison with predicted results of the invention.

Fig. 5 is a plot of two sets of correlation functions between the signature for the grating having the nominal linewidth of 180 nanometers and other signatures for gratings at other linewidths, one set obtained using  $\tan(\psi)$  and the other set obtained using  $\cos(\delta)$ .

For simplicity in description, identical components are identified by the same numerals in this application.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

This invention is based on the recognition that, by measuring or otherwise obtaining characteristics such as the film thickness and optical index of the underlying films underneath the diffracting structure, the subsequent tasks of construction of a database and matching a signature of the diffracting structure to the database are much simplified. Furthermore, if spectroscopic ellipsometry is used to measure the film thickness and optical index of the underlying film(s) under the diffracting structure, an instrument which can be used for spectroscopic ellipsometry as well as for spectroscopic scatterometry may be provided for carrying out both functions. In the preferred embodiment, the spectroscopic ellipsometer and its associated

spectroscopic scatterometer in the instrument may share many common optical elements to reduce the cost of the combined instrument and simplify its operation.

By first measuring the film thickness and optical  
5 refractive index of the underlying films, one no longer needs to include such parameters in the computation of the model or database and subsequent matching of signatures that much simplifies the computation task.

Fig. 1A is a schematic view of a spectroscopic  
10 scatterometer system to illustrate the preferred embodiment of the invention. As shown in Fig. 1A, system 10 advantageously combines features of a spectroscopic scatterometer, spectroscopic ellipsometer and spectroscopic reflectometer. The spectroscopic  
15 reflectometer or the spectroscopic ellipsometer may be used for measuring the film thickness and refractive index of the underlying structure underneath the diffracting structure. As shown in Fig. 1A, a semiconductor wafer may comprise a silicon substrate  
20 12a, a film 12b on the substrate and a diffracting structure 12c such as a photoresist pattern on the film, where the film is at least partially light-transmissive and has a certain film thickness and refractive index ( $n$  and  $k$ , the real and imaginary components of the index).  
25 Before the diffracting structure 12c is measured, an XYZ stage 14 is used for moving the wafer in the horizontal XY directions in order to first measure the

14

film thickness and refractive index of the underlying structure underneath the photoresist pattern 12c. Stage 14 may also be used to adjust the z height of the wafer 12 as described below. Stage 14 moves the wafer to a position as shown in Fig. 1B so that the sampling beam of radiation illuminates a portion of film 12b away from structure 12c. In reference to Figs. 1A, 1B, for the purpose of measuring the film thickness and refractive index of the underlying structure (12b and 12a), a broadband radiation source such as white light source 22 supplies light through a fiber optic cable 24 which randomizes the polarization and creates a uniform light source for illuminating the wafer. Preferably, source 22 supplies electromagnetic radiation having wavelengths in the range of at least 230 to 800 nm. Upon emerging from fiber 24, the radiation passes through an optical illuminator that may include a slit aperture and a focus lens (not shown). The slit aperture causes the emerging light beam to image a small area of layer 12b. The light emerging from illuminator 26 is polarized by a polarizer 28 to produce a polarized sampling beam 30 illuminating the layer 12b.

The radiation originating from sampling beam 30 that is reflected by layer 12b, passed through an analyzer 32 and to a spectrometer 34 to detect different spectral components of the reflected radiation. In the spectroscopic ellipsometry mode of system 10 for



measuring film thickness and refractive index, either the polarizer 28 or the analyzer 30 is rotated (to cause relative rotational motion between the polarizer and the analyzer) when spectrometer 34 is detecting the reflected light at a plurality of wavelengths, such as those in the spectrum of the radiation source 22, where the rotation is controlled by computer 40 in a manner known to those skilled in the art. The reflected intensities at different wavelengths detected is supplied to computer 40 which computes the film thickness and n and k values of the refractive index of layer 12b in a manner known to those skilled in the art. For a description of a spectroscopic ellipsometer, please see U.S. Patent No. 5,608,526, issued March 4, 1997.

While spectroscopic ellipsometry may be preferred for measuring film thickness and refractive index, in some applications where there may only be one or two relatively thick films underneath the diffracting structure, a spectroscopic reflectometer (also known as spectroreflectometer and spectrophotometer) may be adequate for measuring the film thickness and refractive index. For this purpose, lens 23 collects and directs radiation from source 22 to a beam splitter 52, which reflects part of the incoming beam towards the focus lens 54 which focuses the radiation to layer 12b. The light reflected by the layer 12b is collected by lens

54, passes through the beam splitter 52 to a spectrometer in the spectroscopic reflectometer 60. The spectral components at different wavelengths measured are detected and signals representing such components are supplied to computer 40 for determining the film thickness and refractive index in a manner described, for example, in U.S. Patent Application Serial No. 08/227,482, filed April 14, 1994. Spectroscopic devices other than the spectroscopic reflectometer and spectroscopic ellipsometer may also be used for measuring the film thickness and refractive index of layer 12b and are within the scope of the invention. An example of such spectroscopic devices include the n & k Analyzer of n & k Technology Inc. of Santa Clara, California, and described in "Optical Characterization of Amorphous and Polycrystalline Silicon Films," by Ibok et al., reprinted from August 1995 edition of Solid State Technology published by PennWell Publishing Company; "Optical Dispersion Relations for Amorphous Semiconductors and Amorphous Dielectrics," by Forouhi et al., Physical Review B, vol. 34, no. 10, pp 7018-7026, Nov. 15, 1986; "Optical Properties of Crystalline Semiconductors and Dielectrics," by Forouhi et al., Physical Review B, vol. 38, no. 3, pp 1865-1874, July 15, 1988 and U.S. Patent No. 4,905,170.

For the purpose of adjusting the height of wafer 12 relative to the polarizer 28, analyzer 32 to achieve

proper focus in the spectroscopic ellipsometry measurement, or relative to the focus lens 54 and spectroscopic reflectometer 60 in the spectroreflectometer measurement, the height of the wafer may need to be adjusted by means of stage 14 prior to the measurement. For this purpose, a portion of the radiation reflected by layer 12b (or layer 12c in the description that follows) and collected by lens 54 is reflected by a beamsplitter 62 towards a focusing and pattern recognition block 64 for comparing the reflected image to a pattern. Block 62 then sends information concerning the comparison to computer 40 which controls stage 14. Stage 14, in turn, moves the wafer 12 up or down in the vertical or Z direction in order to move wafer 12 to a proper height relative to the optical components of system 10.

Once the film thickness and refractive index of the one or more films underneath the diffracting structure 12c have been so measured, a reference database may now be constructed by means of computer 40. Where the film thickness and refractive index of the one or more films underneath the diffracting structure 12c are known to begin with, or can be estimated, it is possible to omit the step of measuring these quantities. To construct the reference database, characteristics concerning the diffracting structure 12c may be parameterized and the parameters database is defined by allowing an unknown

grating parameter of the structure, such as linewidth, height and wall angle to vary over a certain range. This is illustrated by reference to Fig. 2.

Fig. 2 is a cross-sectional view of a semiconductor wafer comprising a silicon substrate 12a and a diffracting structure 12c' having a linewidth CD, pitch p, height h, and wall angle  $\alpha$  as shown in Fig. 2. Thus, the grating shape parameters that can be parameterized and varied over a certain range include CD, h and  $\alpha$ . A rigorous diffraction model, such as the modal method by modal expansion (MMME), is used to calculate the theoretical diffracted light fingerprint from each grating in the parameter space, and a statistical prediction algorithm such as Partial-Least-Squares (PLS) or Minimum-Mean-Square-Error (MMSE) is trained on this theoretical calibration data. For a description of the MMME, please see "Convergence of the Coupled-wave Method for Metallic Lamellar Diffraction Gratings," by Li et al., Journal of the Optical Society of America A Vol. 10, No. 6, pp. 1184-1189, June 1993; and "Multilayer Modal Method for Diffraction Gratings of Arbitrary Profile, Depth, and Permittivity," by Li et al., Journal of the Optical Society of America A Vol. 10, No. 12, pp. 2582-2591, Dec. 1993.

Instead of using the MMME, the grating shape parameters can also be parameterized using rigorous coupling waveguide analysis ("RCWA"). Such method is

described, for example, in "Rigorous coupled-wave analysis of planar-grating diffraction," by M. Moharam et al., J. Opt. Soc. Am., Vol. 71, No. 7, July 1981, pp. 811-818, "Stable implementation of the rigorous coupled-wave analysis for surface-relief gratings: enhanced transmittance matrix approach," by M. Moharam et al., J. Opt. Soc. Am. A, Vol. 12, No. 5, May 1995, pp. 1077-1086, and "Analysis and Applications of Optical Diffraction by Gratings," T. Gaylord et al., Proceedings of the IEEE, Vol. 73, No. 5, May 1985, pp. 894-937.

Where more than one grating shape parameter is varied, the calculation of fingerprints may be performed by varying only one parameter at a time while keeping the other parameters at selected constant values within selected ranges. Then another parameter is allowed to vary and so on. Subsequently, this prediction algorithm is used to determine the values of the parameters that correspond to the fingerprint measured from layer 12c'.

Since the film thickness and optical indices of any film underlying diffracting structure 12c or 12c' are known from the spectroscopic ellipsometry or spectrophotometry measurements, or are otherwise known, these values may be used in construction of the reference database so that the film thickness and refractive index need not be parameters in the database. This greatly reduces the number of variables in the parameter space and also greatly reduces the number of

signatures that need to be calculated for the reference database. Thus, compared to the 2- $\theta$  scatterometry method where such variables need to be taken into account in the parameter space and the calculation of signatures, this invention enables a smaller database to be used when searching for solutions. Furthermore, due to the number of variables that are parameterized in such 2- $\theta$  scatterometry method, there may be multiple solutions which causes difficulties in obtaining a correct solution. By reducing the size of the database, this invention enables unique solutions to be found in most cases. In this manner, this invention reduces the computation time by many orders of magnitude compared to 2- $\theta$  scatterometry.

The process for measuring the signature from layer 12c and 12c' will now be described in reference to Fig. 1A. As described above, stage 14 moves wafer 12 so that the sampling beam 30 illuminates an area of the underlying film 12b without illuminating any portion of the diffracting structure 12c. Now in order to measure structure 12c, the computer 40 causes stage 14 to move the wafer along a direction in the XY plane so that the sampling beam 30 impinges on layer 12c (or 12c' in Fig. 2). Broadband radiation from source 22 is polarized by polarizer 28 into a polarized broadbeam sampling beam 30. A diffraction of beam 30 is supplied to spectrometer 34 which measures substantially

simultaneously the radiation intensities at different wavelengths of a diffraction from structure 12c, such as at wavelengths across the spectrum of radiation source 22. In the preferred embodiment, the zeroth diffraction order intensity is measured, although for some structures, measurement of higher diffraction order intensities may also be feasible. The process just described is the scatterometric measurement mode of system 10.

Zeroth or higher diffraction order intensities at different wavelengths detected by spectrometer 34 are supplied to computer 40 for analysis and determination of a signature of structure 12c or 12c'. This signature is then compared to those precomputed in the reference database in the manner described above. The grating shape parameters of the signature in the reference database that matches the measured signature of structure 12c or 12c' are then the grating shape parameters of the structure.

In the scatterometric measurement mode, analyzer 32 may be simply removed from the optical path from structure 12c to spectrometer 34. Alternatively, polarizer 28 and analyzer 32 may be controlled by means of computer 40 so that polarizer 28 passes radiation of a certain polarization and analyzer 32 is oriented to pass radiation of the same polarization as that passed by polarizer 28. This invention is based on the

discovery that, where the incidence plane of the beam 30 is substantially normal to the grating 12c, the sensitivity of scatterometric measurements is improved if polarizer 28 is oriented to supply a sampling beam 30  
5 polarized in the TE mode (S-polarized) and analyzer 32 is oriented to pass light in the TE mode. Alternatively, where the incidence plane of the beam 30 is substantially parallel to the grating 12c, the sensitivity of scatterometric measurements is improved  
10 if polarizer 28 is oriented to supply a sampling beam 30 polarized in the TM mode (P-polarized) and analyzer 32 is oriented to pass light in the TM mode.

If more than one diffracting structure having different shape parameters are present on wafer 12,  
15 stage 14 may be controlled by computer 40 to move wafer 12 so that the sampling beam 30 is directed towards each of such diffracting structures one at a time. System 10 is then operated in the scatterometric measuring mode to obtain signatures from each of such diffracting  
20 structures. The signature of each diffracting structure may then be matched with a signature in the reference database in order to obtain the grating shape parameters of such structure. It will be noted that, where measurement of the characteristics of the underlying  
25 structure (12a, 12b) is necessary, this will need to be performed only once for each wafer and the reference database will need to be constructed only once for the



wafer as well. After these have been accomplished, the scatterometric measurements of the different diffracting structures on wafer 12 may be performed quickly and the signatures of each diffracting structure matched to the reference database expeditiously. As noted above, since the reference database contains a smaller number of signatures, the matching or prediction speed of the grating shape parameters of the different diffracting structures on wafer 12 is greatly increased. This makes real time and in-line measurements of the diffracting structures possible. In some applications, a number of semiconductor wafers made by the same process have the same underlying structure underneath the diffraction structures; these underlying structures of the different wafers may have substantially the same film thicknesses and indices of refraction. If this is the case, the above-described process for measuring film thickness and index refraction and the construction of the reference database may need to be performed only once for the entire batch of wafers made by the same process, if the tolerance of the process is known. This further speeds up the measurement and calculation process.

As compared to 2- $\theta$  scatterometry, the spectroscopic scatterometer of this invention measures diffraction and a number of wavelengths simultaneously. This is in contrast to 2- $\theta$  scatterometry where the user takes a measurement of the diffraction at one angle of incidence

at a time. Such feature also speeds up the measurement process. It will also be noted that the above-described reference database is constructed without the use of reference samples. Thus, the user does not have to make  
5 reference wafers having diffracting structures analogous to the one being measured or having to take measurements from such reference samples before a database can be constructed. Furthermore, a rigorously radical model such as MMME is used to achieve accurate results.

10 Preferably, in the spectroscopic ellipsometry mode and the scatterometric measurement mode, sampling beam 30 is directed towards wafer 12 at an oblique angle to layer 12b and 12c. Sampling beam 30 is preferably at an oblique angle in the range of 40 to 80°, and more  
15 preferably in the range of 60 to 80° for measurement of silicon wafers, from a normal to the layers on the wafer 12. A particularly preferred angle of incidence from the normal is about 76° which is substantially the Brewster angle for silicon. In system 10, the  
20 spectroscopic ellipsometer and spectroscopic scatterometer advantageously employ many common optical elements, such as the broadband source 22, fiber 24, illuminator 26, polarizer 28 and spectrometer 34. This simplifies the design of system 10, reduces cost and  
25 simplifies its operation.

The process for adjusting the height of wafer 12 relative to the optical components in the

spectroreflectometry and spectroscopic ellipsometry modes has been described above. However, when light reflected from beamsplitter 52 is directed towards a diffracting structure such as 12c, it is preferable for the light so reflected to be polarized and to have the same polarization as that in sampling beam 30 when the height of the wafer 12 is adjusted. For this purpose, radiation supplied by source 22 is passed through a polarizer 72 before it is directed to beamsplitter 52.

10 The optical axis of polarizer 72 is controlled by computer 40 so that it has the same orientation as the optical axis of polarizer 28 when the focusing and pattern recognition block 64 is used to detect radiation reflected from structure 12c and stage 14 is controlled

15 by computer 40 to adjust height of the wafer until it is at the proper height relative to the sampling beam 30. Polarizer 72 does not affect the height adjustment process during the spectroreflectometry and spectroscopic ellipsometry modes or the spectroscopic

20 reflectometry measurements. The polarized radiation detected by spectroscopic reflectometer 60 may also be used to normalize the intensity measurement in the scatterometer mode described above at an oblique angle to reduce the effects of intensity variations of source

25 22.

Fig. 3A is a graphical plot of the intensity of the zeroth diffraction order as a function of the angle of

incidence of the illuminating light beam in a 2- $\theta$  scatterometer measuring structure 12c' of Fig. 2, where the nominal linewidth is assumed to be 250 nm, and the 51 functions are obtained assuming linewidths from 225 to 275 nanometers, at 1 nanometer steps. The incidence angles used in a calculation of the graphical plot in Fig. 3A varies from 0 to 60° with an uniform increment of 1°, which results in 61 datapoints per signature curve. The light beam is assumed to be TE polarized and the wavelength was 0.6328 microns.

Fig. 3B is a graphical plot of the intensity of zeroth diffraction order as a function of the wavelength of the illuminating light beam according to the invention used for measuring structure 12c' of Fig. 2 where the nominal linewidth is assumed to be 250 nm, and the 51 functions are obtained assuming linewidths from 225 to 275 nanometers, at 1 nanometer steps. These 51 functions are obtained by means of the MMME model method rigorous diffraction method described above, making use of the known or measured index of refraction and film thickness information. These curves are used in comparison with measured results of the invention to predict linewidth of the measured structure. The intensity of the zeroth order is calculated as a function of the wavelength of the illuminating light beam and the wavelengths used in the calculation varies from 0.23 to 0.850 microns with an uniform increment of

0.01 micron which results in 63 datapoints per signature curve. The light beam is assumed to be TE polarized and is illuminated at an oblique angle of  $76^\circ$  from the normal. Fig. 3C is a plot of the mean squares error difference measurement as a function of linewidth, between the signature generated for the grating having the linewidth of 250 nm and other signatures obtained at other linewidths using 2- $\theta$  scatterometry. Fig. 3C also shows plots of the mean squares error difference measurement as a function of linewidth, between the signature generated for the grating having the linewidth of 250 nm and other signatures obtained at other linewidths, and using the preferred embodiment of this invention over a full range of the spectrum as well as over ultraviolet (UV) and visual wavelength bands of the full spectrum. As will be evident from Fig. 3C, the spectroscopic scatterometer of this invention is more sensitive than the 2- $\theta$  scatterometer. The mean square area difference for 1 nm linewidth (CD) sensitivity are shown by Tables 1 and 2 below.

Table 1: MSE Different for 1 nm CD Sensitivity

CD (nm)	Full Band	UV Band	Visual Band	2- $\theta$
250	0.0339	0.0528	0.0142	0.0051

Table 2: MSE Ratio With Respect to 2- $\theta$ 

CD (nm)	Full Band	UV Band	Visual Band
250	6.62	10.31	2.78

From Fig. 3C, it is also evident that the  
5 sensitivity may be higher if only data collected using  
radiation at a sub-band of the full spectrum is used for  
matching the signature. Thus, even though the  
spectrometer 34 records the diffraction for the full  
range of wavelengths in the spectrum, sensitivity may be  
10 improved if only the diffraction at wavelengths in the  
ultraviolet (UV) band is used to construct the measured  
signatures from the diffracting structure of 12c and  
12c'. Such signatures are then matched to signatures in  
the database calculated for the UV band as well. From  
15 Fig. 3B, it is noted that each of the curves is a  
function characterizing a particular signature of a  
grating. While in Fig. 3C, information in the  
ultraviolet band may provide higher sensitivity compared  
to the visual band or the full band, information in a  
20 different portion of the spectrum may provide better  
sensitivity for gratings of other shapes and dimensions.  
All such variations are within the scope of the  
invention.

Another aspect of the invention is based on the  
25 observation that, instead of detecting the intensity of  
the zero, first or other order of diffraction from

structure 12c or 12c', the apparatus 10 of Fig. 1A may be used to detect ellipsometric parameters of such order diffraction from the structure for determining one or more parameters of the diffracting structure. In other words, during the scatterometer mode, computer 40 controls polarizer 28 and analyzer 32 to cause relative rotation and motion between them, and system 10 is used for measuring ellipsometric parameters such as  $\tan(\psi)$  and  $\cos(\delta)$  adds a plurality of wavelengths, such as at wavelengths in the spectrum of radiation source 22. With either known or measured index of refraction and film thickness information of the one or more underlying films underneath the structure 12c or 12c', the MMME model method described above may be used to construct a database of  $\tan(\psi)$  and  $\cos(\delta)$  as functions of wavelength, as illustrated in Figs. 4A and 4B, corresponding to different values of parameters of the structure 12c or 12c'. Thus as shown in Fig. 4A, the model may be used to construct five functions for  $\tan(\psi)$  as functions of wavelength at five different linewidths. Fig. 4B illustrates a similar plot for the ellipsometric parameter  $\cos(\delta)$ . The nominal linewidth is 180 nanometers. By measuring the two ellipsometric parameters of structure 12c or 12c' by means of system 10, the measured functions may be compared to those in Figs. 4A and 4B to find the best fit. The sensitivity in using the ellipsometric

parameters is illustrated in Figs. 5. Fig. 5 is a plot of the correlation between the ellipsometric parameters corresponding to the nominal 180 nanometer value and those corresponding to the remaining four line width values. Other than the above noted differences, in this aspect of the invention where ellipsometric parameters are used for determining characteristics of the structure 12c, 12c', the system 10 operates in a manner and shares the same advantages essentially as those described above for measuring intensity of diffraction in determining characteristics of the structure 12c, 12c'. For some applications, measuring the ellipsometric parameters may offer higher sensitivity.

While the construction of database is illustrated above by reference to functions corresponding to different linewidths, it will be understood that similar functions may be constructed using the model for other parameters of the structure 12c or 12c', such as height or wall angle of the structure. Such and other variations are within the scope of the invention.

While the invention has been described by reference to various embodiments, it will be understood that different changes and modifications may be made without departing from the scope of the invention which is to be defined only by the appended claims and their equivalents.



WHAT IS CLAIMED IS:

1. A method for measuring one or more parameters of a diffracting structure on an underlying structure, said underlying structure having a thickness and an optical index, comprising:
  - providing an optical index and a film thickness of the underlying structure;
  - constructing a reference database of one or more parameters related to said diffracting structure using said optical index and film thickness of the underlying structure;
  - directing a beam of electromagnetic radiation at a plurality of wavelengths at said diffracting structure;
  - detecting intensities of a diffraction at said plurality of wavelengths from said structure of said beam; and
  - comparing said detected intensities to said database to determine said one or more parameters.
2. The method of claim 1, wherein said directing directs said beam at an oblique angle to the diffracting structure.
3. The method of claim 2, wherein said oblique angle is in the range of about 40 to 80 degrees to a normal direction to the structure.

4. The method of claim 2, wherein said detecting detects a zeroth order diffraction of said beam from said diffracting structure.

5 5. The method of claim 2, wherein said directing directs polarized radiation to the diffracting structure.

6. The method of claim 1, wherein said detecting  
10 detects a zeroth order diffraction of said beam from said diffracting structure.

7. The method of claim 6, wherein said directing directs polarized radiation to the diffracting  
15 structure.

8. The method of claim 1, wherein said constructing constructs a reference database comprising a plurality of functions, each of said functions  
20 corresponding to one or more parameters of said structure and providing values of intensity at said plurality of wavelengths.

9. The method of claim 8, each of said functions  
25 corresponding to a probable linewidth, height or wall angle of said diffracting structure.

10. The method of claim 1, wherein said directing directs polarized radiation to the diffracting structure.

5 11. The method of claim 1, wherein said constructing constructs said database by means of a model without the use of reference samples.

12. The method of claim 1, said plurality of  
10 wavelengths including ultraviolet wavelengths.

13. The method of claim 1, wherein said constructing constructs a reference database of one or more parameters over a spectrum of wavelengths, said  
15 directing directs a beam of broadband radiation at wavelengths including said spectrum and said detecting detects intensity data over said spectrum of wavelengths.

20 14. The method of claim 13, wherein said comparing compares intensity data at wavelengths in a selected portion of the spectrum to a portion of the database.

15 15. The method of claim 14, said spectrum including ultraviolet wavelengths, wherein said portion consists of wavelengths in the ultraviolet range.

16. The method of claim 1, wherein said providing includes measuring an optical index and film thickness of the underlying structure.

5           17. The method of claim 16, wherein said measuring measures by means of a spectroscopic ellipsometer, a spectrophotometer or a spectroreflectometer.

10           18. An apparatus for measuring one or more parameters of a diffracting structure on at least one underlying structure, said underlying structure having a film thickness and an optical index, comprising:

                  means for constructing a reference database of one or more parameters related to said diffracting  
15           structure using said an optical index and a film thickness of the underlying structure;

                  means for directing a beam of electromagnetic radiation at a plurality of wavelengths at said diffracting structure;

20           means for detecting intensities of a diffraction at said plurality of wavelengths from said structure; and

                  means for comparing said detected intensities to said database to determine said one or more  
25           parameters.

35

19. The apparatus of claim 18, wherein said directing means directs said beam at an oblique angle to the diffracting structure.

5        20. The method of claim 19, wherein said oblique angle is in the range of about 40 to 80 degrees..

21. The apparatus of claim 19, wherein said detecting means detects a zeroth order diffraction of  
10    said beam from said diffracting structure.

22. The apparatus of claim 19, wherein said directing means directs polarized radiation to the diffracting structure.

15

23. The apparatus of claim 18, wherein said detecting means detects a zeroth order diffraction of said beam from said diffracting structure.

20        24. The apparatus of claim 23, wherein said directing means includes a polarizer.

25. The apparatus of claim 18, wherein said constructing means constructs a reference database  
25    comprising a plurality of functions, each of said functions corresponding to one or more parameters of

said structure and providing values of intensity at said plurality of wavelengths.

26. The apparatus of claim 25, each of said  
5 functions corresponding to a probable linewidth, height or wall angle of said diffracting structure.

27. The apparatus of claim 18, wherein said  
directing means directs polarized radiation to the  
10 diffracting structure.

28. The apparatus of claim 18, wherein said  
constructing means constructs said database by means of  
a model without the use of reference samples.

15

29. The apparatus of claim 18, said plurality of  
wavelengths including ultraviolet wavelengths.

30. The apparatus of claim 18, wherein said  
20 constructing means constructs a reference database of one or more parameters over a spectrum of wavelengths, said directing means directing a beam of broadband radiation at wavelengths including said spectrum and said detecting means detects intensity data over said  
25 spectrum of wavelengths.

37

31. The apparatus of claim 30, wherein said comparing means compares intensity data at wavelengths in a selected portion of the spectrum to a portion of the database.

5

32. The apparatus of claim 31, said spectrum including ultraviolet wavelengths, wherein said portion consists of wavelengths in the ultraviolet range.

10

33. The apparatus of claim 18, further comprising means for measuring said optical index and film thickness of the underlying structure.

34. The apparatus of claim 33, wherein said measuring means includes a spectroscopic ellipsometer, spectrophotometer or spectroreflectometer.

35. The apparatus of claim 33, wherein said measuring and directing means employ common optical elements, said common elements including a broadband radiation source, a polarizer and a spectrometer.

36. The apparatus of claim 33, wherein said measuring and directing means employ common optical elements, said common elements including also an analyzer, wherein said polarizer and analyzer are set to provide and pass radiation of substantially the same

38

polarization when intensity data is detected from said structure, and to cause rotation between the polarizer and the analyzer when ellipsometric parameters are detected from the structure.

5

37. A scatterometer for measuring one or more parameters of a diffracting structure of a sample, including:

a source which emits broadband radiation;  
10 a polarizer that polarizes the broadband radiation to produce a sampling beam sampling the structure; and

means for detecting intensities of a diffraction from the structure of said broadband  
15 radiation over a range of wavelengths.

38. The scatterometer of claim 37, wherein said polarizer directs said sampling beam at an oblique angle to the diffracting structure.

20

39. The scatterometer of claim 37, wherein said detecting means detects a zeroth order diffraction of said beam from said diffracting structure.

25 40. The scatterometer of claim 37, further comprising an analyzer that analyses radiation of the sampling beam that has been diffracted by the



diffracting structure to produce an output beam, wherein said detecting means detects the output beam.

41. The scatterometer of claim 40, wherein said  
5 polarizer and analyzer are oriented to respectively provide and pass radiation of substantially the same polarization when intensities of a diffraction are detected from said structure.

10 42. The scatterometer of claim 37, further comprising a database including intensity data measured from other diffracting structures, and means for comparing the intensities detected to the data in the database for determining the one or more parameters of  
15 the diffracting structure.

43. The scatterometer of claim 37, wherein the polarizer produces a sampling beam in the TE mode.

20 44. The scatterometer of claim 37, further comprising focusing means for providing polarized radiation to adjust height of the structure on the sample relative to the polarizer and detecting means.

25 45. The scatterometer of claim 44, wherein the polarized radiation provided by the focusing means has

substantially the same polarization as the sampling beam.

46. A method for measuring one or more parameters  
5 of a diffracting structure of a sample, including:  
    providing broadband radiation;  
    polarizing the broadband radiation to produce  
a sampling beam;  
    directing the sampling beam towards the  
10 structure;  
    detecting intensity of radiation of the  
sampling beam that has been diffracted from the  
structure over a range of wavelengths; and  
    comparing the detected radiation to a  
15 reference to determine said one or more parameters.

47. The method of claim 46, said sample having an  
underlying structure, said diffracting structure lying  
on said underlying structure of the sample, said method  
20 further comprising:  
    providing an optical index and film thickness of  
the underlying structure; and  
    constructing a reference database of one or more  
parameters related to said diffracting structure using  
25 said optical index and film thickness of the underlying  
structure;

41

wherein said comparing compares said detected radiation to said database to determine said one or more parameters.

5           48. The method of claim 47, wherein said constructing constructs a reference database of one or more parameters over a spectrum of wavelengths, said directing directs a beam of radiation having wavelengths that include said spectrum and said detecting detects  
10 intensity data at a plurality of wavelengths over said spectrum of wavelengths.

          49. The method of claim 48, wherein said comparing compares intensity data at wavelengths in a selected  
15 portion of the spectrum to a portion of the database.

          50. The method of claim 49, said spectrum including ultraviolet wavelengths, wherein said portion consists of wavelengths in the ultraviolet range.  
20

          51. The method of claim 46, further comprising directing said sampling beam at an oblique angle to the diffracting structure.

25           52. The method of claim 46, wherein said detecting detects a zeroth order diffraction of said beam from said diffracting structure.

53. The method of claim 46, wherein said polarizing produces a sampling beam in the TE mode.

54. An instrument for measuring one or more  
5 parameters of a diffracting structure on an underlying structure of a sample, comprising:  
a source of broadband radiation;  
a polarizer polarizing said radiation to provide a sampling beam towards the sample;  
10 an analyzer for receiving radiation from the sampling beam that has been diffracted or reflected by the structures to provide an output beam; and  
a spectrometer for detecting intensity data from the output beam simultaneously at a plurality of  
15 wavelengths.

55. The instrument of claim 54, further comprising means for causing the polarizer or analyzer to rotate when the sampling beam is directed to the underlying  
20 structure and not to the diffracting structure, and for causing the polarizer and analyzer not to rotate when the sampling beam is directed to the diffracting structure.

25 56. The instrument of claim 55, wherein said causing means causes the polarizer to provide and the

analyzer to pass radiation having substantially the same polarization.

57. The instrument of claim 54, further comprising  
5 means for causing the polarizer or analyzer to rotate when the sampling beam is directed to the underlying structure without the diffracting structure and when the sampling beam is directed to the diffracting structure.

10 58. The instrument of claim 54, further comprising focusing means for providing polarized radiation for adjusting height of the diffraction structure relative to the polarizer and detecting means.

15 59. The instrument of claim 58, wherein the polarized radiation provided by the focusing means has substantially the same polarization as the sampling beam.

20 60. A method for measuring one or more parameters of a diffracting structure on an underlying structure of a sample, comprising:

performing spectroscopic measurements on the underlying structure to determine its characteristics;  
25 constructing a reference database of one or more parameters related to said diffracting structure using said characteristics of the underlying structure;

performing scatterometric measurements on the diffracting structures to obtain intensity data; and comparing said intensity or ellipsometric data to the reference database to derive said one or more parameters.

61. The method of claim 60, wherein said characteristics of the underlying structure includes optical index and film thickness.

10

62. The method of claim 61, wherein said spectroscopic measurements performing performs spectroscopic ellipsometric or spectroscopic reflectometric measurements.

15

63. The method of claim 61, wherein said spectroscopic measurements and scatterometric measurements are performed using broadband radiation.

20

64. The method of claim 61, wherein said spectroscopic measurements and scatterometric measurements are performed using polarized radiation.

65. The method of claim 61, wherein said constructing constructs a reference database of one or more parameters over a spectrum of wavelengths, and wherein said scatterometric measurements are performed

45

over said spectrum of wavelengths to obtain intensity data over said spectrum.

66. The method of claim 65, wherein said comparing  
5 compares intensity data at wavelengths in a selected portion of the spectrum to a portion of the database.

67. The method of claim 66, said spectrum  
including ultraviolet wavelengths, wherein said portion  
10 consists of wavelengths in the ultraviolet range.

68. An instrument for measuring a sample,  
comprising:

a spectroscopic device measuring film  
15 thickness data and index of refraction data of the sample over a spectrum;

a scatterometer measuring intensity data from  
a diffracting structure of said sample over a spectrum;  
and

20 means for deriving physical parameters related to the structure from the film thickness data, index of refraction data and intensity data.

69. The instrument of claim 68, said device being  
25 a spectroscopic ellipsometer or spectroscopic reflectometer.

70. The instrument of claim 68, said scatterometer employing broadband and polarized radiation.

71. The instrument of claim 68, said device and  
5 said scatterometer employing one or more common optical elements, said elements including a polarizer.

72. The instrument of claim 68, said device and  
said scatterometer employing one or more common optical  
10 elements, said elements including a broadband radiation source.

73. The instrument of claim 68, further comprising  
a spectroscopic reflectometer measuring film thickness  
15 data and index of refraction data of the sample over a spectrum.

74. The instrument of claim 68, wherein the  
spectroscopic reflectometer employs polarized radiation  
20 for adjusting height of the sample relative to the device and scatterometer.

75. The method of claim 60, wherein said  
scatterometer measurements performing performs  
25 measurements substantially simultaneously at a plurality of wavelengths.



47

76. The instrument of claim 68, said scatterometer measuring intensity data substantially simultaneously at a plurality of wavelengths.

5           77. The method of claim 47, wherein said constructing constructs a reference database of one or more parameters over a spectrum of wavelengths, said directing directs a beam of radiation having wavelengths that include said spectrum and said detecting detects  
10 ellipsometric data over said spectrum of wavelengths.

78. The method of claim 75, wherein said comparing compares ellipsometric data at wavelengths in a selected portion of the spectrum to a portion of the database.

15

79. A method for measuring one or more parameters of a diffracting structure on \an underlying structure, said underlying structure having a thickness and an optical index, comprising:

20           providing an optical index and a film thickness of the underlying structure;

          constructing a reference database of one or more parameters related to said diffracting structure using said optical index and film thickness of the  
25 underlying structure;

48

directing a beam of electromagnetic radiation at a plurality of wavelengths at said diffracting structure;

detecting ellipsometric parameters of a  
5 diffraction at said plurality of wavelengths from said structure of said beam; and

comparing said detected ellipsometric parameters to said database to determine said one or more parameters.

10

80. The method of claim 79, wherein said directing directs said beam at an oblique angle in the range of about 40 to 80 degrees to a normal direction to the structure.

15

81. The method of claim 79, wherein said detecting detects a zeroth order diffraction of said beam from said diffracting structure.

20

82. The method of claim 79, wherein said constructing constructs a reference database comprising a plurality of functions, each of said functions corresponding to a parameter of said structure and providing values of an ellipsometric parameter at said  
25 plurality of wavelengths.

83. The method of claim 82, each of said functions corresponding to a probable linewidth, height or wall angle of said diffracting structure.

5       84. The method of claim 79, said plurality of wavelengths including ultraviolet wavelengths.

85. The method of claim 79, wherein said constructing constructs a reference database of one or  
10 more parameters over a spectrum of wavelengths, said directing directs a beam of broadband radiation at wavelengths including said spectrum and said detecting detects ellipsometric parameters over said spectrum of wavelengths.

15

86. The method of claim 79, wherein said providing includes measuring an optical index and film thickness of the underlying structure.

20       87. The method of claim 86, wherein said measuring measures by means of a spectroscopic ellipsometer, a spectrophotometer or a spectrophotometer.

88. An apparatus for measuring one or more  
25 parameters of a diffracting structure on at least one underlying structure, said underlying structure having a film thickness and an optical index, comprising:

50

means for constructing a reference database of one or more parameters related to said diffracting structure using said an optical index and a film thickness of the underlying structure;

5 means for directing a beam of electromagnetic radiation at a plurality of wavelengths at said diffracting structure;

means for detecting ellipsometric parameters of a diffraction at said plurality of wavelengths from  
10 said structure; and

means for comparing said detected ellipsometric parameters to said database to determine said one or more parameters.

15 89. The apparatus of claim 88, wherein said directing means directs said beam at an oblique angle in the range of about 40 to 80 degrees.

90. The apparatus of claim 88, wherein said  
20 plurality of wavelengths including ultraviolet wavelengths.

91. The apparatus of claim 88, wherein said detecting means detects a zeroth order diffraction of  
25 said beam from said diffracting structure.

92. The apparatus of claim 88, wherein said constructing means constructs a reference database comprising a plurality of functions, each of said functions corresponding to a parameter of said structure  
5 and providing values of an ellipsometric parameter at said plurality of wavelengths.

93. The apparatus of claim 92, each of said functions corresponding to a probable linewidth, height  
10 or wall angle of said diffracting structure.

94. The apparatus of claim 88, wherein said constructing means constructs a reference database of one or more parameters over a spectrum of wavelengths,  
15 said directing means directs a beam of broadband radiation at wavelengths including said spectrum and said detecting means detects ellipsometric parameters over said spectrum of wavelengths.

20 95. The apparatus of claim 88, further comprising means for measuring said optical index and film thickness of the underlying structure.

96. The apparatus of claim 95, wherein said  
25 measuring means includes a spectroscopic ellipsometer, spectrophotometer or spectroreflectometer.

97. A scatterometer for measuring one or more parameters of a diffracting structure of a sample, including:

- a source which emits broadband radiation;
- 5 a polarizer that polarizes the broadband radiation to produce a sampling beam sampling the structure; and
- means for detecting ellipsometric parameters of a diffraction from the structure of said broadband
- 10 radiation substantially simultaneously at a plurality of wavelengths.

98. The scatterometer of claim 97, wherein said plurality of wavelengths including ultraviolet

15 wavelengths.

99. The scatterometer of claim 97, further comprising a database including ellipsometric data measured from other diffracting structures, and means

20 for comparing the ellipsometric parameters detected to the data in the database for determining the one or more parameters of the diffracting structure.

100. The scatterometer of claim 97, further

25 comprising focusing means for providing polarized radiation to adjust height of the structure on the sample relative to the polarizer and detecting means.

101. The scatterometer of claim 100, wherein the polarized radiation provided by the focusing means has substantially the same polarization as the sampling beam.

5

102. A method for measuring one or more parameters of a diffracting structure on an underlying structure of a sample, comprising:

performing spectroscopic measurements on the  
10 underlying structure to determine its characteristics;  
constructing a reference database of one or more parameters related to said diffracting structure using said characteristics of the underlying structure;  
performing scatterometric measurements on the  
15 diffracting structures to obtain ellipsometric data at a plurality of wavelengths substantially simultaneously;  
and

comparing said ellipsometric data to the reference database to derive said one or more  
20 parameters.

103. The method of claim 102, wherein said characteristics of the underlying structure includes optical index and film thickness.

25

104. The method of claim 103, wherein said spectroscopic measurements performing performs

spectroscopic ellipsometric or spectroscopic reflectometric measurements.

105. The method of claim 103, wherein said  
5 spectroscopic measurements and scatterometric measurements are performed using broadband radiation.

106. The method of claim 103, wherein said  
spectroscopic measurements and scatterometric  
10 measurements are performed using polarized radiation.

107. The method of claim 103, wherein said  
constructing constructs a reference database of one or  
more parameters over a spectrum of wavelengths, and  
15 wherein said scatterometric measurements are performed  
over said spectrum of wavelengths to obtain  
ellipsometric data over said spectrum.

108. An instrument for measuring a sample,  
20 comprising:

a spectroscopic device measuring film  
thickness data and index of refraction data of the  
sample over a spectrum;

a scatterometer measuring ellipsometric data  
25 from a diffracting structure of said sample  
substantially simultaneously at a plurality of  
wavelengths over a spectrum; and



means for deriving physical parameters related to the structure from the film thickness data, index of refraction data and ellipsometric data.

5           109. The instrument of claim 108, said device being a spectroscopic ellipsometer or spectroscopic reflectometer.

          110. The instrument of claim 108, said  
10 scatterometer employing broadband and polarized radiation.

          111. The instrument of claim 108, said device and said scatterometer employing one or more common optical  
15 elements, said elements including a polarizer.

          112. The instrument of claim 108, said device and said scatterometer employing one or more common optical elements, said elements including a broadband radiation  
20 source.

          113. The instrument of claim 108, further comprising a spectroscopic reflectometer measuring film thickness data and index of refraction data of the  
25 sample over a spectrum.

56

114. The instrument of claim 108, wherein the spectroscopic reflectometer employs polarized radiation for adjusting height of the sample relative to the device and scatterometer.

5

1/5

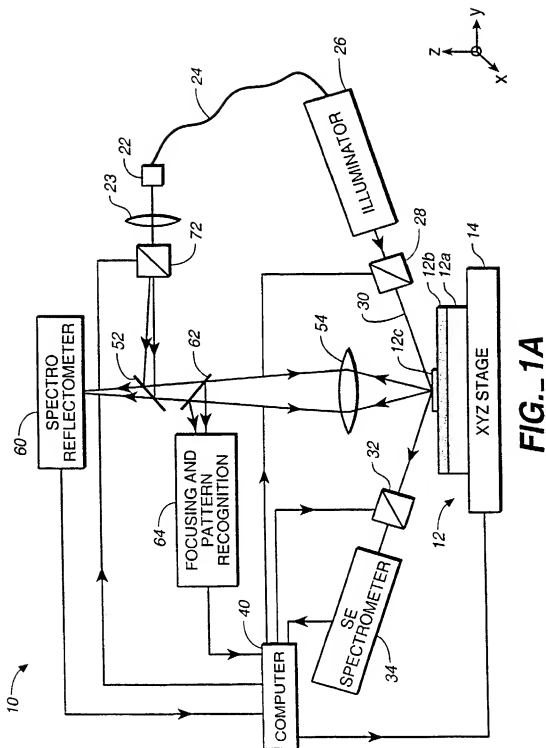
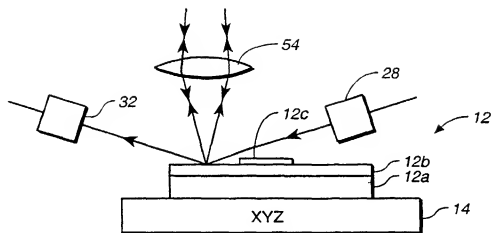
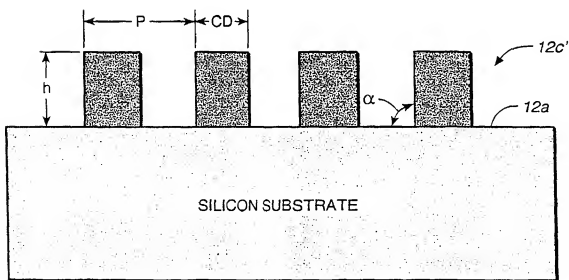
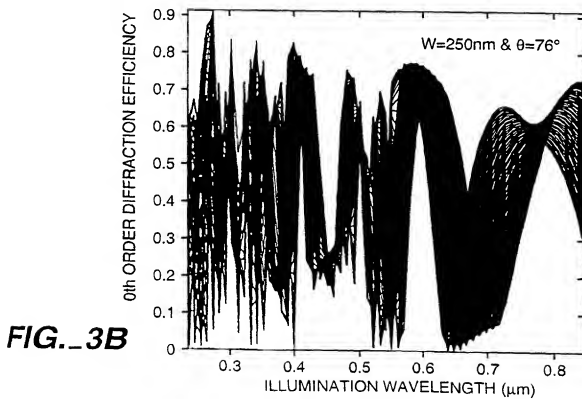
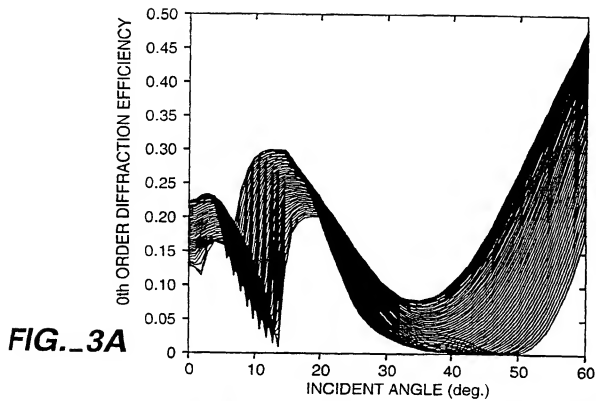


FIG. 1A

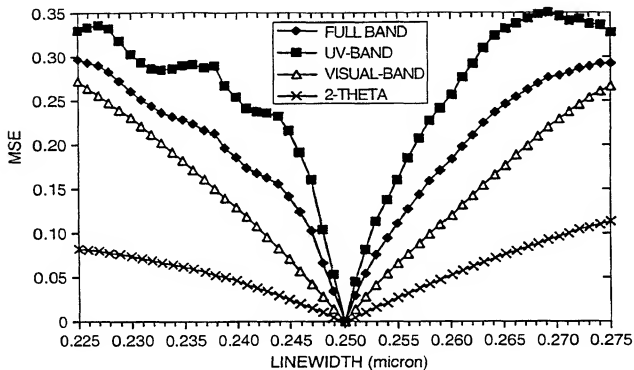
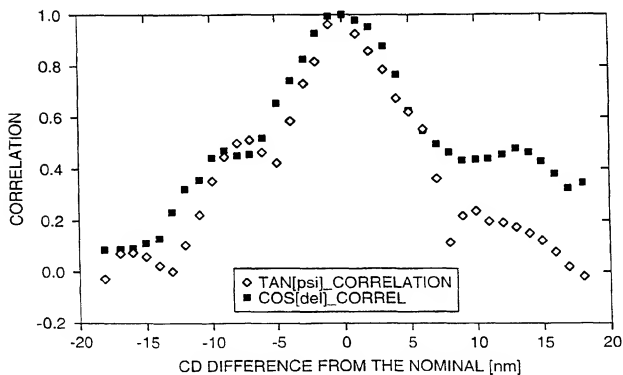
2/5

**FIG.\_1B****FIG.\_2**

3 / 5

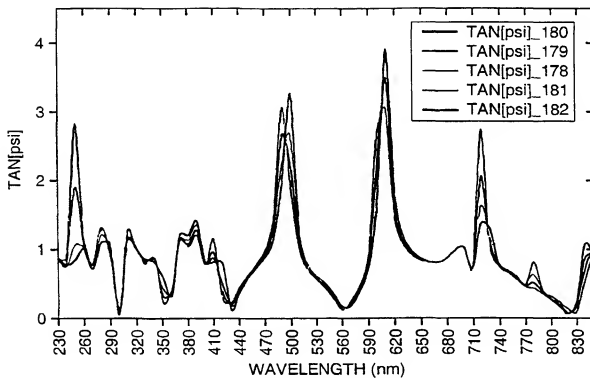
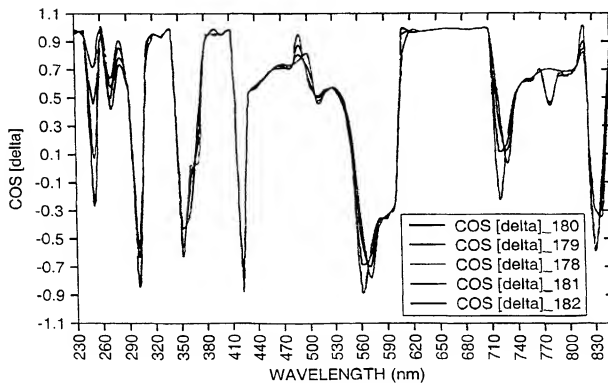


4 / 5

**FIG. 3C****FIG. 5**

SUBSTITUTE SHEET (RULE 26)

5/5

**FIG.\_4A****FIG.\_4B**

SUBSTITUTE SHEET (RULE 26)

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC 6 G01B11/02 H01L21/66 G03F7/20

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 G01B H01L G03F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No
X	US 5 607 800 A (LUCENT) 4 March 1997 cited in the application  see column 2, line 1 - line 14 see column 3, line 59 - column 4, line 4 see column 7, line 4 - line 25; figures 1, 3B, 4	1-4, 6, 8, 9, 12-15, 18-21, 23, 25, 26, 29-32
A	US 5 416 594 A (TENCOR) 16 May 1995 see column 6, line 28 - line 47; figure 1	1-114



Further documents are listed in the continuation of box C



Patent family members are listed in annex

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance, the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance, the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"Z" document member of the same patent family

Date of the actual completion of the international search

26 May 1999

Date of mailing of the international search report

07/06/1999

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax (+31-70) 340-3016

Authorized officer

Mielke, W



# INTERNATIONAL SEARCH REPORT

Inte Application No  
PCT/US 99/04053

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5607800 A	04-03-1997	EP 0727715 A JP 8255751 A	21-08-1996 01-10-1996
US 5416594 A	16-05-1995	NONE	

Form PCT/ISA210 (patent family annex) (July 1992)